Compliance and Ethics Week 2023



Answer Key

Division of Legal, Audit, Risk, and Compliance

Equity@uncfsu.edu

Unscramble the Compliance
related words!

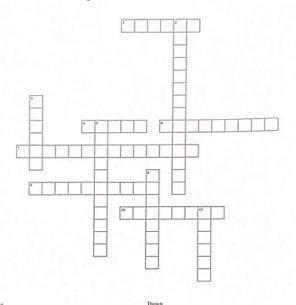
Compliance & Ethics Week: DAY 1



https://forms.office.com/r/Hdc49LPMc1

1. Conflict 2. Equity 3. Safety 4. Privacy 5. Fraud 6. Helpline 7. Broncos 8. Ethics 9. Unlawful

Compliance and Ethics Week



Across

Designated neutral dispute resolution
[4] Activity that relies on deception in order to achieve a gain.
[6] Consistency of values and actions
[7] Taking responsibility for one's actions and results
[9] Conforming to rule, policy or law
[10] Individual that informs appropriate authority of misconduct

 Differential treatment based on a protected class or category
Standards that guide actions based on core values (§) Materially adverse action in response to a report
Confidential means to report non-compliance
The quality of being fair and impartial

https://forms.office.com/r/1QygRAVLL5

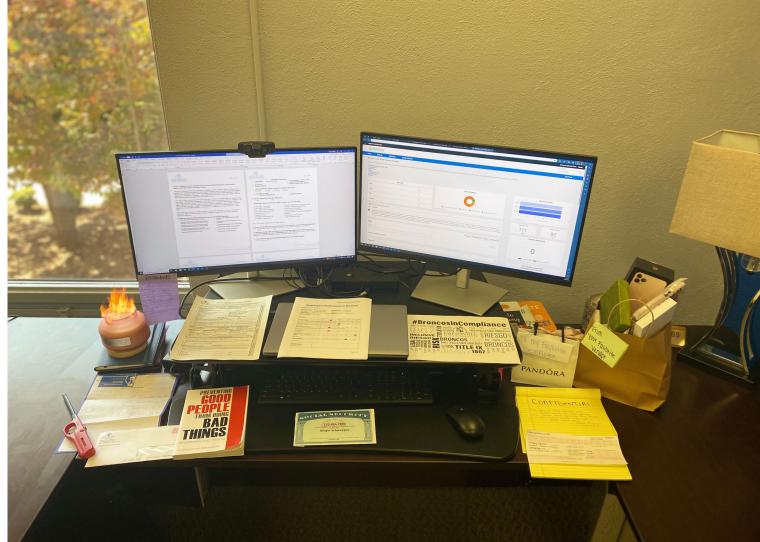
1 Across: Ombuds 2 Down: Discrimination 3 Down: Ethics 4 Across: Fraud 5 Down: Retaliation 6 Across: Integrity 7 Across: Accountability 8 Down: Helpline 9 Across: Compliance 10 Across: Reporter 11 Down: Equity

Real or Reel

Explore Compliance or ethics scenarios and guess whether they happened real life or TV/movies



- 1. Real
- 2. Reel: Snowden
- 3. Reel: Brockovich
- 4. Real
- 5. Reel: Any Given Sunday
- 6. Real
- 7. Real
- 8. Reel: The Office
- 9. Real
- 10. Real
- 11. Real
- 12.Real
- 13. Reel: Park and Recreation



- 1. Confidential Document
- 2. Open flame
- 3. SSN Card
- 4. Vendor Form
- 5. Gift from vendor
- 6. Passwords
- 7. Bank of America: bank slip
- 8. Transcript
- 9. Gift from manager
- 10. Computer screens
- 11. Employee Evaluation

https://forms.office.com/r/LSK5FervAB

Spot the compliance risk

 Policies and Procedures Scavenger Hunt

Compliance & Ethics Week: DAY 5



https://forms.office.com/r/i4N3JptYQi

- 1. On the legal website under Policies and Procedures
- 2. Chapter 400
- 3. False
- 4. Title IX
- 5. Chapter 200-206
- 6. Chapter 300-319
- 7. Unlawful Discrimination, Harassment and Retaliation policy
- 8. Illegal Drugs and Alcohol policy
- 9. Disruptive behavior in the classroom policy
- 10. 830

Resources



The Office of Equity is committed to maintaining a safe and inclusive environment that is free from discrimination, harassment, and sexual misconduct. The Office of Equity handles student, employee and visitor reports of discrimination and harassment based on age, color, disability, genetic information, national origin, race, religion, sex, sexual orientation, and veteran status. This includes reports of sexual misconduct, including sexual harassment. Our office also handles reports of relationship violence and stalking. Reports of such conduct will be referred to the Title IX Coordinator.

Equity Intake Form (highq.com)

Fayetteville State University has established an ethics helpline to provide a confidential means for Fayetteville State University employees to report instances of suspected non-compliance outside the normal chain of command in a manner that preserves confidentiality and assures non-retaliation.

Ethics Helpline - Fayetteville State University Ethics Helpline (highq.com)

AUDIT AND COMPLIANCE HOTLINE

Confidentially report financial, fraud, ethical, and compliance concerns. The hotline is open 24 Hours a day, 365 days a year.

<u>(910) 672-1400</u>

Elizabeth Hunt, JD, LPEC Assistant Vice Chancellor for Risk and Compliance Equity@uncfsu.edu Fayetteville State University





Location: Rudolph Jones Student Center 132 When: November 09, 2023 Time: 9AM-11AM Come meet your Compliance Leaders and

come meet your Compliance Leaders an enjoy a warm beverage with a special treat!

Join us for coffee, cocoa, and pastries while enjoying the last day of Compliance and Ethics Week.

For more information 910-672-1043 or by email: Equity@uncfsu.edu

Division of Legal. Audit. Risk & Compliance



#BRONCOSINCOMPLIANCE

All is welcome to attend

